

# Timing Analysis for Microkernel-based Real-Time Embedded System

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**Abstract**—Currently, more and more application-specific operating systems (ASOS) are applied in real-time embedded systems. With the development of microkernel technique, the ASOS is usually customized based on the microkernel using the configurable policy, which has various alternatives. In the design of the real-time embedded system (RTES) based on such ASOS, evaluating its timing performance at the early design stage is helpful to guide the designer towards choosing the most appropriate policy. However, the existing works lack a uniform approach to support analyzing the various alternatives of the configured policy. To solve this problem, this paper presents a general-purpose timing analysis approach for the ASOS-based RTES. In the analysis, a timing analysis tree is proposed to characterize the tasks and the ASOS in the RTES. Then, each of the alternative policies in the ASOS is refined by the uniform execution rules in the tree. Finally, the task's response time under the various alternative policies is analyzed by a traversal of the timing analysis tree using a uniform way. In the case study, we take the scheduling policy as an example to show the use of our approach on a real-life robot controller system.

**Index Terms**—real-time embedded system, microkernel-based RTOS, application-specific operating system, alternative policy, timing analysis

## I. INTRODUCTION

In real-time embedded systems (RTES), the real-time operating system (RTOS) is usually used to manage the tasks in the system, and directly impacts their timing performance [1]. The RTESs in various domains may suffer from the general-purpose operating system (OS) due to their specific characteristics. Currently, many works are aimed at the application-specific operating systems (ASOSs) to enhance the performance of a certain application [2], e.g., microkernel architectures are representative ASOSs. Nowadays, more and more practical RTOSs are designed based on a microkernel, such as QNX, Integrity, and FreeRTOS. A microkernel [3] is a minimalistic kernel that contains the near-minimum amount of functions and features required to implement an OS, it adopts the "separation of mechanism and policy" principle. Such principle makes it convenient to build arbitrary OS services using the configurable policy. When customizing an ASOS, every configurable policy has various alternatives, each of

which has a different influence on the response time of the task. Hence, in this work, we are interested in the timing analysis of the design of the RTES, which is implemented on a customized ASOS based on the microkernel with various alternatives for the configurable policy.

In the real-time systems, the timing analysis approaches can be divided into two categories: dynamic and static. The dynamic approaches, which include the simulation and model checking, suffer from the efficiency problem when applied to the case mentioned here. For the simulation, each alternative policy requires generating a policy-dedicated simulation model, which is not feasible for a general-purpose. For the model checking, it also needs to concern the policy-dedicated rules throughout the task model [4]. Thus, we resort to the static analysis approaches, which include three classes [5]: structure-based, path-based, and the technique using implicit path enumeration (IPET). Both the path-based approach [6] and the IPET [7] are inadequate for our case due to they do not consider the OS. As for the structure-based approach, it can only support the specific function of the OS [8], [9], and is inadequate to analyze the various alternative policies here in a general purpose way.

In this paper, we propose a timing analysis approach specific for the RTES based on a microkernel-based ASOS, which is customized by the configurable policy that has various alternatives. In order to perform the timing analysis for the various alternatives uniformly, we first propose a structure of timing analysis tree, which is used to characterize the tasks and the ASOS in the RTES. Then, we define a canonical form of the execution rules to refine the various alternatives in the timing analysis tree. Based on the execution rules, we finally propose a general-purpose analysis technique by a traversal of such timing analysis tree for the various alternatives. In the case study, we take the scheduling policy as an example to show the use of our approach on a real-life robot controller system. Comparing with the state-of-the-art methods, the superiority of our approach is that it simplifies the analysis by fixing the tasks and the ASOS mechanisms, and only replacing the part of the configurable policy.

This paper is organized as follows: Sect. II discusses the related works; Sect. III introduces the background and overview of our approach; Sect. IV proposes the timing

analysis approach; Sect. V evaluates our approach on a real-life case; and Sect. VI gives some concluding remarks and perspectives.

## II. RELATED WORKS

Currently, the timing analysis of the RTES includes two different classes of methods [5], that is the dynamic methods and the static methods.

The dynamic methods rely on the simulation or the model checking. For the simulation-based methods, the works [10], [11] mapped the MARTE model to the SymTA/S model for timing analysis based on formal scheduling analysis techniques and symbolic simulation; the work [12] proposed a simulation-based timing analysis depending on a more detailed system model, which described the execution control flow at the code level. When used in our case, the simulation-based methods need a model transformation (or refinement) for each alternative policy, which is inflexible. For the model checking, the work [13] presented an analysis method for the worst-case execution time (WCET) using UML-MARTE model checker, which was aimed at detecting wrong software designs and refined the correct ones with respect to WCET; the work [14] mapped the activity diagram of UML into the priority time Petri net (PTPN) to enhance the formal schedulability test of given real-time tasks; The work [15] mapped the workload model of real-time systems into a Petri Nets formalism to generate all transactions for the timing analysis. However, as for our case, the model checking method needs to specify the policy-dedicated rules throughout the task model, which is flexible or even impossible.

The static methods include three classes [5]: structure-based, path-based, and techniques using implicit path enumeration (IPET). In the path-based method [6], the execution time is determined by analyzing the paths in the task. In IPET [7], the control flow and the basic-block execution time are combined into the constraints to analyze the execution time of the task. Both the path-based method and the IPET don't consider the OS's functions in the execution of the task. In the structure-based method [16], the execution time is analyzed in a bottom-up traversal of the syntax tree of the task. The syntax tree takes the functions or subtasks of a task as the nodes, so the interactions between the nodes can be used to concern the OS's functions, such as synchronization [17], instruction cache locking [9], etc. However, the structure-based method can't support analyzing the various realizations of the function in a general purpose way. For example, the work [17] proposed three analysis methods for the three instruction cache locking strategies, i.e. static locking, semi-dynamic locking and dynamic locking.

## III. BACKGROUND AND OVERVIEW

A real-time embedded application is usually designed as a set of tasks managed by the RTOS [18], i.e. the ASOS here. The microkernel-based ASOS includes three basic mechanisms that cover the essential functions of the microkernel, i.e. the task scheduling, the inter-process communication (IPC),

and the resource access [3]. Each mechanism can be extended using a set of alternative policies. The task consists of a sequence of functional blocks with some system calls [19]. The system call is realized by the basic system calls in the ASOS. The functional block is used to realize an independent function and composes the execution sequence of a task [19].

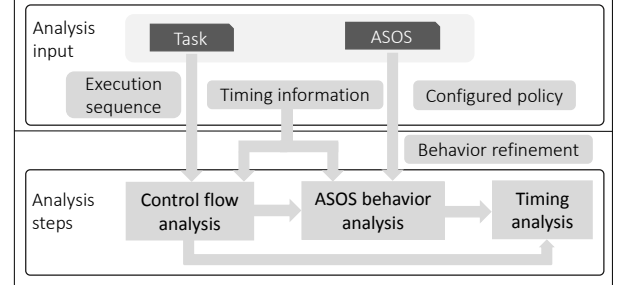


Fig. 1. Overview of our approach

The overview of our approach is shown in Fig. 1. The RTES design includes the tasks and the ASOS. The response time of each task is analyzed based on its control flow, which is characterized by the execution sequence of the task. Such control flow is influenced by the ASOS behavior, which varies with different configured policy. Here, we propose to refine the ASOS behavior at the analysis stage. Besides, the timing information needs to be specified for the tasks and the ASOS. Specifically, the worst-case execution time (WCET) is pre-defined for each functional block in the tasks and each basic system call in the ASOS. For each alternative policy, the timing analysis of the RTES design is implemented by combining the control flow and the ASOS behavior to analyze the tasks' response time.

## IV. TIMING ANALYSIS APPROACH

In our approach, we define an extensible timing analysis tree (ETAT) to characterize the task and the ASOS, where the alternative policy can be replaced flexibly (i.e. extensible). If a new policy is configured, the only part needs to be modified in the ETAT is the policy node together with its child node.

### A. Extensible Timing Analysis Tree (ETAT)

In this section, we first define the semantics for the extensible timing analysis tree (ETAT); then, we propose a canonical form to define the execution semantics for the ETAT, which is used to refine the ASOS behavior to perform the timing analysis. Based on the proposed canonical form, we introduce the execution rules for the three mechanisms in ASOS, i.e. scheduling, IPC and resource access.

1) *Definition of ETAT*: In the RTES, each task is modeled as an ETAT, which consists of a set of nodes and edges. The node is defined as  $TreeNode = (time\_cost, component\_attribute)$ , where the *time\_cost* attribute records the time cost of the represented component, the *component\_attribute* attribute characterizes the attributes of the represented component. There are three types of nodes in the ETAT as follows:

- *object* node specifies the tasks and the functional blocks.
- *operation* node specifies the ASOS behaviors, including mechanisms and configurable policies
- *parameter* node specifies the basic system calls and execution rules for the ASOS behavior.

The various relationships between the nodes are defined as different types of edges in the ETAT. Each type of edge can only exist between a pair of certain type of nodes. The are five types of edges, which are listed as follows:

- *use*: A task or a functional block uses the mechanism or the policy in the ASOS; The execution rules and the basic system calls are used by the mechanism or the policy.
- *realize*: A policy is realized based on the mechanism.
- *consist*: A task consists of a set of functional blocks.
- *sequence*: The successor of a functional block in the execution sequence is its sub-sequence.
- *operate*: The mechanism or the policy operates on the task or the functional block.

2) *Definition of the Execution Semantics*: The ASOS behavior is refined by the execution rules in the ETAT, which define the operating actions and the timing actions for the behavior. Specifically, the operating action expresses the operation for this behavior; the timing action indicates there is a time cost for the operation. A canonical form for the execution rules is defined as

$$State \xrightarrow{[Condition]/Action} State' \quad (1)$$

where *State* represents the current state of a task, *Condition* means the condition affecting the execution of the task, and *Action* is the operating or the timing action for the task triggered by the satisfaction of conditions.

The execution rules for *scheduling* mechanism are defined as shown in Fig. 2.

- Four basic states of a task (running, ready, blocked, suspended) are represented by *St\_Run*, *St\_Ready*, *St\_Block* and *St\_Suspend*, respectively.
- The set of conditions consists of *Cond\_Preempted*, *Cond\_First\_Run*, *Cond\_Wait\_Event*, *Cond\_Event\_Arrive*, and *Cond\_Time\_Out*.
  - *Cond\_Preempted* represents the condition that makes a task be preempted.
  - *Cond\_First\_Run* represents that the task is selected to run first among the tasks in the ready queue.
  - *Cond\_Wait\_Event* represents that the task is waiting for an event.
  - *Cond\_Event\_Arrive* represent that the waited event arrives.
  - *Cond\_Time\_Out* represents that the waiting is time-out.
- The operating actions (i.e. running, readying, blocking and suspending) are represented by *Act\_Run*, *Act\_Ready*, *Act\_Block* and *Act\_Suspend* respectively, and the timing action is defined as *Act\_Timing*.

The execution rules for *resource access* mechanism are defined as shown in Fig. 3.

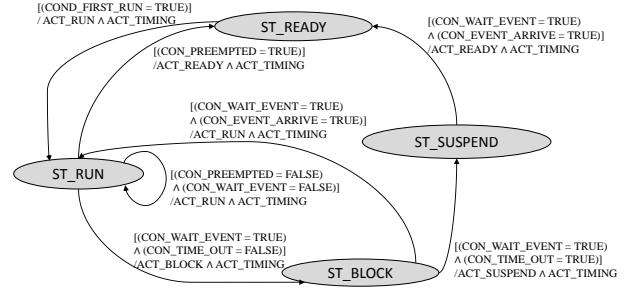


Fig. 2. Execution rules for scheduling

- Two basic states of *St\_Run* and *St\_Block* are involved.
- The conditions of *Cond\_Request\_Resource* and *Cond\_Req\_Resource\_Available* are used.
  - *Cond\_Request\_Resource* represents that the task requests a resource during its execution.
  - *Cond\_Req\_Resource\_Available* represents that the requested resource is available right now.
- The operating actions include *Act\_Run*, *Act\_Block*, *Act\_Check\_Resource*, *Act\_Get\_Resource* and *Act\_Timing*. Among them, *Act\_Check\_Resource* is to check whether the resource is available, *Act\_Get\_Resource* is to obtain the available resource.

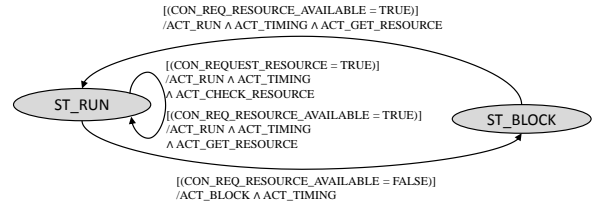


Fig. 3. Execution rules for resource access

The execution rules for *IPC* mechanism are defined as shown in Fig. 4.

- Two basic states of *St\_Run* and *St\_Block* are involved.
- The conditions of *Cond\_Request\_Communication* and *Cond\_Req\_Connect\_Setup* are used.
  - *Cond\_Request\_Communication* represents that the task requests a communication with other task during its execution.
  - *Cond\_Req\_Connect\_Setup* represents that the connection for the requested communication is set up.
- The operating actions include *Act\_Run*, *Act\_Block*, *Act\_Connect\_Setup*, *Act\_Communicate* and *Act\_Timing*. Among them, *Act\_Connect\_Setup* is to set up the connection, *Act\_Communicate* is to communicate with other task.

## B. Timing Analysis for ETAT

The response time of a task consists of the scheduling time, the interaction time (with other functional blocks), and the WCET of the functional blocks in this task. Both the

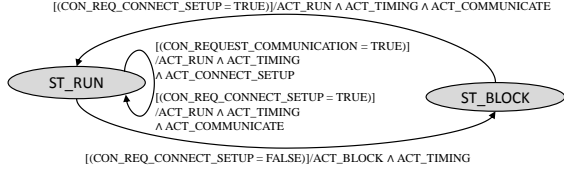


Fig. 4. Execution rules for IPC

scheduling time and the interaction time rely on the ASOS behavior, and consist of the WCET of the basic system calls. Such response time is analyzed by a traversal of the ETAT of this task. During the traversal, the time cost of the root node (i.e. the task node) indicates the current execution time of the task, and is updated once the time cost of the scheduling node or that of each functional block node is worked out. The time spent at each object node of functional block is analyzed based on its operation child-node. The time spent at each operation node (include scheduling and interaction) is analyzed based on its parameter child-node. When the ETAT is completely traversed, the time cost of the root node indicates the response time of the task.

```

function timing_analysis_process (T)
1: visit the root node rn of T
2: if (rn.traversalsFinished == true) then
3:   return
4: else
5:   visit the scheduling child-node sc of rn
6:   OperationNodeAnalysis_forScheduling
7:   update rn.time_cost
8:   if (task.state != "executable") then
9:     return
10:  else
11:    visit the functional block child-node fb of rn
12:    set fb as the functional block node to be analyzed fb_ta
13:    while (fb_ta != null)
14:      if fb_ta.executionCondition is satisfied then
15:        ObjectNodeAnalysis_forFunctionalBlock
16:        update rn.time_cost
17:      else
18:        return
19:      end if
20:      set the functional block child-node of fb_ta as fb_ta.
21:      visit the node fb_ta
22:    end while
23:    set rn.traversalsFinished = true
24:    return rn.time_cost as the response time
25:  end if
26: end if
  
```

Fig. 5. Timing analysis process

Given an ETAT  $T$ , the timing analysis process is shown in Fig. 5. First, visit the root node of  $T$  to check whether  $T$  is completely traversed (L. 1). If not, visit the scheduling child-node of the root node to check whether the task is executable

(L. 5). Then, analyze the time cost of the scheduling child-node, and update the time cost of the root node (L. 6,7). If the task is executable, we visit the functional block child-node (say  $fb$ ) of the root node, then visit the functional block child-node (say  $fb'$ ) of  $fb$ , then visit the functional block child-node of  $fb'$ , ..., until all functional block nodes are visited (L. 20). For each functional block node, we check its execution condition and analyze its time cost based on the operation node (if exists) and the parameter node (the analysis procedure will be introduced later), then update the time cost of the root node (L. 14-16). When all the functional block nodes are visited, the task is set as completely traversed, the time cost on the root node indicates the response time of the task.

Next, we specifically introduce the scheduling node and the functional block node mentioned above. The time cost of the scheduling node is the time spent at the scheduling operation. The time cost of the functional block node includes the time spent at the object itself and at the interaction operation (if exists). Therefore, we focus on the two types of nodes, i.e. the *object* node and the *operation* node. According to the definition of ETAT, the basic structures of *object* node and *operation* node are summarized in Fig. 6. For the *object* node, it has a child node of the *object* type with a *consist* (for task) or *sequence* (for functional block) edge between them. If the *object* node has a scheduling operation or an interaction operation, an *operation* node is generated as its another child node with the *use* edge. For the *operation* node, it has a child node of *parameter* with the *use* edge. If the *operation* node has an extended operation (for policy), the *realize* edge is used to link them. If the *operation* node has an other operand, a child node of the *object* type is generated for the operand with the *operate* edge.

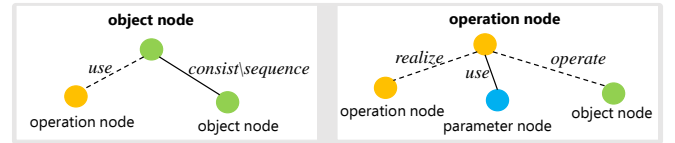


Fig. 6. Basic structure of *object* node and *operation* node

The timing analysis for the *object* node and the *operation* node is presented as follows. As the *object* node of the task is the root node to record the time cost, we focus on the *object* node of the functional block here. For ease of illustration, we call such a child node that has a *use* edge with its father node as the *use* child-node in brief (the same for other edges).

- C1: For the *object* node of functional block, its time cost includes the time spent at itself and at its *use* child-node (if exists). The time spent at the functional block itself is specified by the WCET value in its *component\_attribute*. The *use* child-node is actually the *operation* node, whose time cost is analyzed by the way in C2.
- C2: For the *operation* node, its time cost includes the time spent at its *use* child-node, *realize* child-node (if exist) and *operate* child-node (if exist). The time spent at the *use* child-node is the time cost of the system call, which is

analyzed based on the execution rules (the timing actions particularly) and the WCET of basic system calls. If this *operation* node has a *realize* child-node, the *realize* child-node is actually an *operation* node, whose time cost is analyzed by the same way. If this *operation* node has other operands except for its father node (as the *operation* node is used by its father node, the father node is one operand of this operation), the *operate* child-node is actually an *object* node, whose time cost is analyzed by the same way as C1.

## V. CASE STUDY

### A. Experimental setup

In this section, we will illustrate the application of our approach to a real-life robot controller system [20]. The robot controller system (RCS), which consists of three tasks, is used to keep the robot operating normally. Among the tasks, the *balance* task is to keep the balance of the robot by calculating the input from the gyroscope and the inclinometer; the *navigation* task is to avoid obstacles during the process of going to the destination; the *remote* task is to receive a remote command via the infrared. The services of the infrared sensor, the gyroscope and the inclinometer are realized by the interrupt service routines (ISR), which are corresponding to *infrared\_isr*, *gyro\_isr* and *inclino\_isr* respectively. To implement such RCS, we use the  $\mu$ C/OS-II kernel [21] to configure the ASOS. The  $\mu$ C/OS-II kernel implements a static priority scheduling policy, and has an optional policy of round robin scheduling. In this case study, we analyze the timing performance of the three tasks in the RCS to assess these two scheduling policies.

For the ASOS, the WCET of the basic system calls in the  $\mu$ C/OS-II kernel given in [22] is used in this case study. About the two scheduling policies in the ASOS, the time slice of the round robin (RR) scheduling is set as 10 thousands CPU cycles, the priorities (P) for the three tasks in the static priority (SP) scheduling are set as:  $P(balance) = 4$ ,  $P(navigation) = 6$ ,  $P(remote) = 5$ . For the tasks, their timing requirements are represented by the deadline (D), and set as (in one thousand CPU cycles):  $D(balance) = 200$ ,  $D(navigation) = 40$ ,  $D(remote) = 4000$ . Within the tasks, the functional blocks (FBs) together with their WCET are set as shown in Table. I.

### B. Experimental process and results

First, we define the execution rules for the two scheduling policies. As shown in Fig. 7, these execution rules refine the preempted condition in the scheduling mechanism (as shown in Fig. 2). Specifically, for the SP scheduling, an arbitrary task  $T$  is preempted when there exists a ready task with a higher priority than  $T$ ; for the RR scheduling, the task  $T$  is preempted when the time slice for  $T$  is used up. It should be noted that the  $CON\_PREEMPTED$  in the execution rules of scheduling mechanism (as shown in Fig. 2) is set by the actions of  $ACT\_SET\_PREEMPTED\_TRUE$  or  $ACT\_SET\_PREEMPTED\_FALSE$  in the execution rules of the two scheduling policies.

TABLE I  
WCET SETTINGS FOR FUNCTIONAL BLOCKS (IN ONE THOUSAND CPU CYCLE)

Task	Function block	WCET
balance	Initialization	5
	GetInfoFromGyro	10
	GetInfoFromInclino	10
	Calculation	30
	KeepBalance	50
navigation	Initialization	1
	SendDetector	3
	FindObstacle	8
	AvoidObstacle	5
remote	Initialization	10
	GetInfoFromInfrared	800
	ExecuteCommand	3000

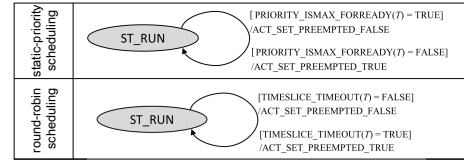


Fig. 7. Execution rules for two alternative scheduling policies

Then, we construct the timing analysis trees for the three tasks as shown in Fig. 8, where the *object* nodes, the *operate* nodes and the *parameter* nodes are represented by the colors of green, orange and blue respectively in each timing analysis tree. As space is limited, the attributes of each node in the trees are not presented.

After the timing analysis, the response time of each task under the two scheduling policies is presented in Table. II. As seen, the static priority scheduling can meet the deadline of the tasks, while the round robin scheduling can not. This case study takes the two scheduling policies as an example to illustrate the feasibility of our approach. Without loss of generality, any other scheduling policies can also be analyzed based on the timing analysis trees in Fig. 8 by defining their execution rules.

TABLE II  
TIMING ANALYSIS RESULTS (IN MILLISECONDS)

Task	SP scheduling	RR scheduling
<i>balance</i>	158	304
<i>navigation</i>	3845	5091
<i>remote</i>	36	47

## VI. CONCLUSION AND PERSPECTIVE

In the domain of the real-time embedded system, more and more application-specific operating systems (ASOS) are customized based on the microkernel using the configurable policy. The existing methods usually need an individual timing analysis for each alternative policy. To simplify the analysis, we propose a general-purpose timing analysis approach for such ASOS-based RTES design. A real-life robot controller system is used as a case study to show the feasibility of our



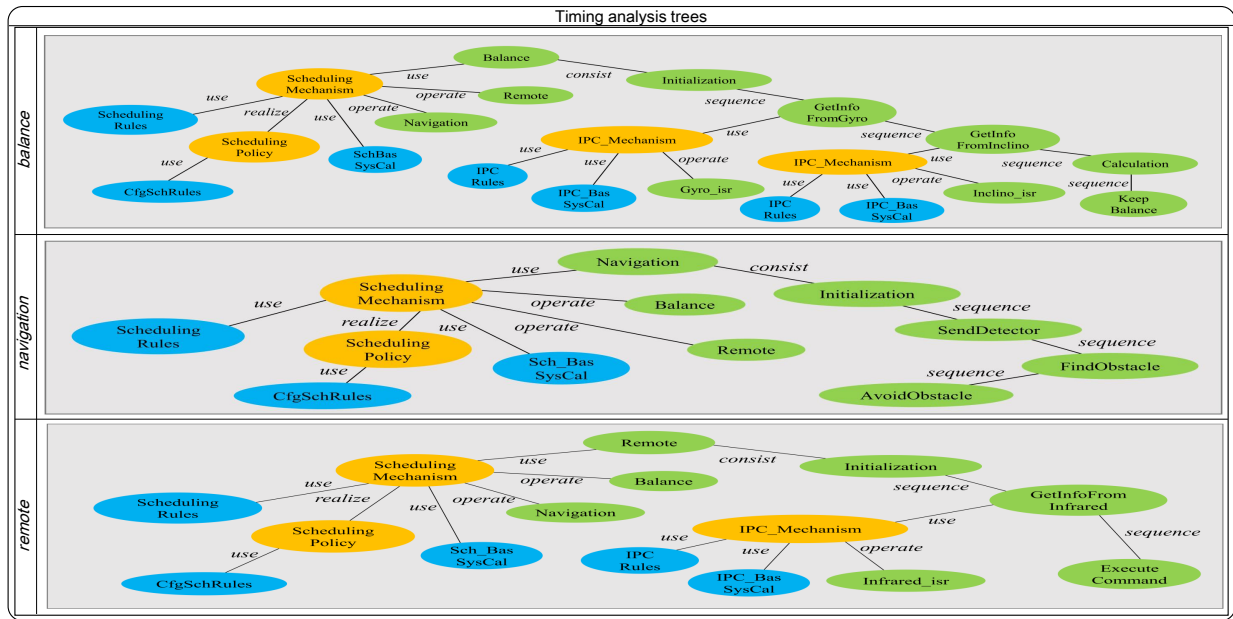


Fig. 8. Timing analysis tree for the tasks

approach. Currently, our approach only supports the configurable policies of the three basic aspects, i.e. scheduling, inter-process communication, and resource access. With the RTES is becoming more and more complex, the more functions are needed by the ASOS, such as network management, file system, etc. In the near future, we will extend our approach to support more configurations in the ASOS.

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